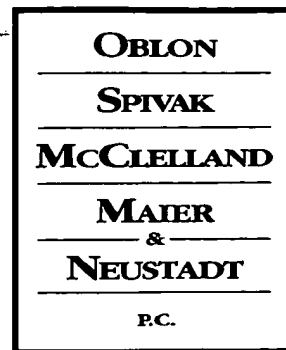




Docket No.: 211223US0X

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



ATTORNEYS AT LAW

RE: Application Serial No.: 09/938,540
Applicants: Bettina MOECKEL, et al.
Filing Date: August 27, 2001
For: NEW NUCLEOTIDE SEQUENCES
WHICH CODE FOR THE CCPAI GENE

SIR:

Attached hereto for filing are the following papers:

Statement Under 37 C.F.R. 1.821-1.825; Return Copy - Notice to comply with requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
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22850

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APR 15 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,540	08/27/2001	Bettina Moeckel	211223US0X

22850
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC
FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202

CONFIRMATION NO. 2794

FORMALITIES LETTER



OC00000007475015

Date Mailed: 02/14/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Dept.: CHEMICAL

OSMM&N File No. 211223US0X

By: NFO/WEB:saf

Serial No. NEW APPLICATION

In the matter of the Application of: Bettina MOECKEL, et al.

For: NUCLEOTIDE SEQUENCES CODING FOR THE CCPA1 GENE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 31 pp. Specification 25 Claims/Formal Drawings 1 Sheets
and 2 pages Application Data Sheet
- ☒ Combined Declaration, Petition & Power of Attorney 6 pages (executed)
- ☐ List of Inventor Names and Addresses
- ☒ Utility Patent Application Transmittal ☐ CPA
- ☒ Notice of Priority ☐ Priority Doc
- ☒ Check for \$1,000.00 ☒ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☒ Assignment/PTO 1595 pages: 4
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Formal Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☐ Information Disclosure Statement ☐ PTO-1449
- ☐ Cited References
- ☐ Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☒ Computer Readable Form (CRF) Sequence Listing
- ☐ Issue Fee Transmittal
- ☒ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐
- ☐



- ☐ Cited Pending Applications
- ☐ Election Response

Due Date: August 26, 2001



Dept.: CHEMICAL

By: NFO/DJP/TWB:saf

OSMM&N File No. 211223US0X

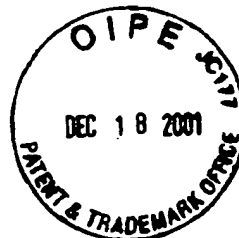
Serial No. 09/938,540

In the matter of the Application of: Bettina MOECKEL, et al.

For: NEW NUCLEOTIDE SEQUENCES WHICH CODE FOR THE CCPA1 GENE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | | | |
|-------------------------------------|--|-------------------------------------|---------------------------------|
| <input type="checkbox"/> | pp. Specification | Claims/Drawings | Sheets |
| | and | Pages Application Data Sheet | |
| <input type="checkbox"/> | Combined Declaration, Petition & Power of Attorney | | pages |
| <input type="checkbox"/> | List of Inventor Names and Addresses | | |
| <input type="checkbox"/> | Utility Patent Application Transmittal | <input type="checkbox"/> | CPA |
| <input type="checkbox"/> | Notice of Priority | <input type="checkbox"/> | Priority Doc |
| <input type="checkbox"/> | Check for | <input checked="" type="checkbox"/> | Dep. Acct. Order Form |
| <input type="checkbox"/> | Fee Transmittal Form | | |
| <input type="checkbox"/> | Assignment/PTO 1595 pages: | | |
| <input type="checkbox"/> | Letter to Official Draftsman | | |
| <input type="checkbox"/> | Letter Requesting Approval of Drawing Changes | | |
| <input type="checkbox"/> | Drawings | sheets | <input type="checkbox"/> Formal |
| <input checked="" type="checkbox"/> | PTO Cover Letter | | |
| <input checked="" type="checkbox"/> | Notice to Comply with Requirements for Patent Applications | | |
| <input type="checkbox"/> | Information Disclosure Statement | <input type="checkbox"/> | PTO-1449 |
| <input checked="" type="checkbox"/> | Statements Under 37 C.F.R. 1.821-1.825 | | |
| <input type="checkbox"/> | Search Report | | |
| <input type="checkbox"/> | Statement of Relevancy | <input type="checkbox"/> | Cited Pending Applications |
| <input type="checkbox"/> | IDS/Related/List of Related Cases | <input type="checkbox"/> | Election Response |
| <input type="checkbox"/> | Restriction Response | | |
| <input type="checkbox"/> | Rule 132 Declaration | | |
| <input type="checkbox"/> | Petition for Extension of Time | | |
| <input type="checkbox"/> | Notice of Appeal | | |
| <input type="checkbox"/> | Brief | | |
| <input type="checkbox"/> | Issue Fee Transmittal | | |
| <input type="checkbox"/> | White Advance Serial Number Card | | |
| <input type="checkbox"/> | Small Entity Status is claimed | | |
| <input type="checkbox"/> | | | |
| <input type="checkbox"/> | | | |



Due Date: December 18, 2001